

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 OR 15(d) of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): August 27, 2021

REDWOOD TRUST, INC.

(Exact name of registrant as specified in its charter)

Maryland
(State or other jurisdiction
of incorporation)

001-13759
(Commission
File Number)

68-0329422
(I.R.S. Employer
Identification No.)

One Belvedere Place
Suite 300
Mill Valley, California 94941
(Address of principal executive offices and Zip Code)

(415) 389-7373
(Registrant's telephone number, including area code)

Not Applicable
(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading symbol(s)	Name of each exchange on which registered
Common Stock, par value \$0.01 per share	RWT	New York Stock Exchange

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 or Rule 12b-2 of the Securities Exchange Act of 1934.

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On September 2, 2021, Redwood Trust, Inc. (the "Company") announced that its President, Dashiell I. Robinson, has been appointed to serve on its Board of Directors. Effective on August 27, 2021, the Board of Directors increased the number of directors constituting the Board of Directors from 10 to 11 and elected Mr. Robinson as a director, with a term expiring in May 2022, to fill the vacancy on the Board created by the increase in the number of directors. Mr. Robinson is expected to be nominated to stand for reelection as a director at the Company's 2022 Annual Meeting of Stockholders. Mr. Robinson was not appointed to serve on any committees of the Board and will not receive any compensation for his service as a director other than his compensation as an executive officer of the Company.

Further information relating to Mr. Robinson that is responsive to the requirements of Item 5.02(d) of Form 8-K is incorporated by reference from the Company's [Proxy Statement for its 2021 Annual Meeting of Stockholders, a copy of which was filed with the SEC on March 31, 2021](#).

Item 7.01. Regulation FD Disclosure.

On September 2, 2021, the Company issued a press release announcing the changes to the composition of its Board of Directors described above in Item 5.02.

The information contained in this Item 7.01 and the attached Exhibit 99.1 is furnished to and not filed with the Securities and Exchange Commission, and shall not be

incorporated by reference into any registration statement or other document filed under the Securities Act of 1933, as amended, or the Securities Exchange Act of 1934, as amended, except as shall be expressly set forth by specific reference in such filing.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits

[Exhibit 99.1 Press Release dated September 2, 2021](#)

Exhibit 104 Cover Page Interactive Data File (embedded within the inline XBRL document)

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

Date: September 2, 2021

REDWOOD TRUST, INC.

By: /s/ ANDREW P. STONE

Name: Andrew P. Stone

Title: Executive Vice President, Chief Legal Officer, and Secretary

REDWOOD TRUST

REDWOOD TRUST ANNOUNCES APPOINTMENT OF ITS PRESIDENT, DASHIELL ROBINSON, TO ITS BOARD OF DIRECTORS

MILL VALLEY, CA – Thursday, September 2, 2021 – [Redwood Trust, Inc.](#) (NYSE: RWT), a leader in expanding access to housing for homebuyers and renters, today announced that its President, Dashiell Robinson, has also been appointed to serve on its Board of Directors, effective August 27, 2021. Mr. Robinson joined Redwood in 2017 from Wells Fargo Securities, where he served as the Head of Mortgage Finance within the Asset-Backed Finance Group.

“Since joining Redwood, Dash has played a fundamental role in shaping and implementing Redwood’s strategic vision of being a leading operator and capital provider in a broad array of housing finance disciplines. His election to the Board is a further recognition of his important role as President in charting the company’s future together with our CEO, and is consistent with our historical practice of representing both of these important roles on our Board,” said Redwood’s Chairman of the Board, Richard D. Baum.

Chris Abate, Redwood’s Chief Executive Officer, added, “Dash has been a close business partner and trusted advisor since he first joined Redwood, and we have worked in tandem to design and execute on a strategic path that benefits our stakeholders while fulfilling Redwood’s corporate mission to help make quality housing – whether rented or owned – accessible to all American households. His additional role as a Director will only deepen the broad array of expertise, experience, and perspective represented on Redwood’s Board.”

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About Redwood Trust

Redwood Trust, Inc. (NYSE: RWT) is a specialty finance company focused on several distinct areas of housing credit. Our operating platforms occupy a unique position in the housing finance value chain, providing liquidity to growing segments of the U.S. housing market not served by government programs. We deliver customized housing credit investments to a diverse mix of investors, through our best-in-class securitization platforms; whole-loan distribution activities; and our publicly traded shares. Our consolidated investment portfolio has evolved to incorporate a diverse mix of residential, business purpose and multifamily investments. Our goal is to provide attractive returns to shareholders through a stable and growing stream of earnings and dividends, capital appreciation, and a commitment to technological innovation that facilitates risk-minded scale. Since going public in 1994, we have managed our business through several cycles, built a track record of innovation, and a best-in-class reputation for service and a common-sense approach to credit investing. Redwood Trust is internally managed and structured as a real estate investment trust ("REIT") for tax purposes. For more information about Redwood Trust, visit our website at www.redwoodtrust.com or connect with us on [LinkedIn](#), [Twitter](#), or [Facebook](#).

CONTACT

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